

Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**BANK HAPOLIM (SWITZERLAND) LTD.**

Safra National Bank of New York

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Arceli Vargas  
Safra National Bank of New York  
546 Fifth Avenue  
New York, NY 10036

Phone: \_\_\_\_\_

Last Four Digits of Acct #: 7770

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name of Transferor

Court Claim # (if known): 55855

Date Claim Filed: \_\_\_\_\_

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see  
Schedule I): USD 760,000

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

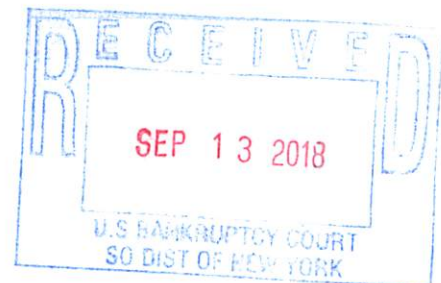
I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Thomas Oswald  
Transferee/Transferee's Agent

Date: 9/10/18

Penalty for making false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Thomas Oswald  
First Vice President  
Safra National Bank





**EVIDENCE OF TRANSFER OF CLAIM**

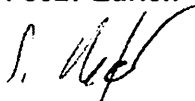
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

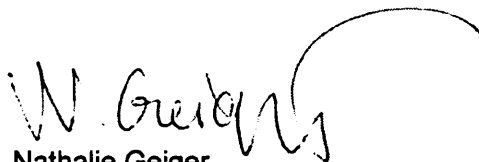
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Hapoalim (Switzerland) Ltd., Zurich, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **Safra National Bank of New York, New York** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **55855**) in the **nominal amount of USD 760'000.00** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 24 day of August 2018.

**Bank Hapoalim (Switzerland) Ltd.**  
**Stockerstrasse 33**  
**CH-8027 Zurich**

  
Stefan Stotzer  
Deputy Manager

  
Nathalie Geiger  
Mandatory

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
XS0207502781 CALLABLE RANGE ACCRUAL NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 450'000
Blocking number	6052924			

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
XS0211092316 CALLABLE RANGE NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 310'000
Blocking number	6052925			